CROWN FINANCE HOLDINGS

ACCOUNT APPLICATION

☐ Individual ☐ Joint ☐ Corporate

Account Number ________________

PRIMARY ACCOUNT HOLDER INFORMATION

☐ Mr. ☐ Mrs. ☐ Miss ☐ Others (*Please specify*)
____

First Name____

Middle Name____

Last Name____

Please check your preferred address for all **Crown Finance Holdings** legal documents and shares registration.

☐ Home Address ☐ Company Address

Company Name (*Please indicate if you checked Company Address*)

____

Street Number and Name____

Town/District____

City____

Post Code____

Country____

Main Contact Information

Home Number____

Business Number____

Mobile Number____

Fax Number____

Email Address ____

Alternative Contact Information

Home Number____

Business Number____

Mobile Number____

Fax Number____

Email Address____

Please indicate preferred time to take a call.

☐ Morning ☐ Afternoon ☐ Evening

SECONDARY ACCOUNT HOLDER INFORMATION

☐ Mr. ☐ Mrs. ☐ Miss ☐ Others (*Please specify*)
____

First Name____

Middle Name____

Last Name____

Please check your preferred address for all **Crown Finance Holdings** legal documents and shares registration.

☐ Home Address ☐ Company Address

Company Name (*Please indicate if you checked Company Address*)

____

Street Number and Name____

Town/District____

City____

Post Code____

Country____

Main Contact Information

Home Number____

Business Number____

Mobile Number____

Fax Number____

Email Address ____

Alternative Contact Information

Home Number____

Business Number____

Mobile Number____

Fax Number____

Email Address____

Please indicate preferred time to take a call.

☐ Morning ☐ Afternoon ☐ Evening

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PRIMARY ACCOUNT HOLDER INFORMATION	SECONDARY ACCOUNT HOLDER INFORMATION

In compliance with the Anti-Money Laundering guidelines, you are required to complete the information asked below.

PRIMARY ACCOUNT HOLDER

Date of Birth________________________________

Place of Birth________________________________

Nationality________________________________

Country of Citizenship________________________________

Driver's License Number________________________________

Passport Number________________________________

Marital Status ☐ Unmarried ☐ Married ☐ Divorced ☐ Separated ☐ Widowed

Employment Status ☐ Self Employed ☐ Employed ☐ Not Employed ☐ Company Owner ☐ Retired

Primary Occupation________________________________

SECONDARY ACCOUNT HOLDER

Date of Birth________________________________

Place of Birth________________________________

Nationality________________________________

Country of Citizenship________________________________

Driver's License Number________________________________

Passport Number________________________________

Marital Status ☐ Unmarried ☐ Married ☐ Divorced ☐ Separated ☐ Widowed

Employment Status ☐ Self Employed ☐ Employed ☐ Not Employed ☐ Company Owner ☐ Retired

Primary Occupation________________________________

PRIMARY ACCOUNT HOLDER INFORMATION

Trading Experience (Please check appropriate box.)

	NONE	LESS THAN 1 YEAR	1 -5 YEARS	6 – 10 YEARS	MORE THAN 10 YEARS
STOCKS	☐	☐	☐	☐	☐
BONDS	☐	☐	☐	☐	☐
MUTUAL FUNDS	☐	☐	☐	☐	☐
COMMODITIES	☐	☐	☐	☐	☐
FUTURES	☐	☐	☐	☐	☐
OPTIONS	☐	☐	☐	☐	☐
CRYPTOCURRENCIES	☐	☐	☐	☐	☐

Investment Goals (Please check appropriate box.)

RISK TOLERANCE	☐ Aggressive ☐ Moderate ☐ Conservative
INVESTMENT OBJECTIVE	☐ Capital Gains ☐ Income

SECONDARY ACCOUNT HOLDER INFORMATION

Trading Experience (Please check appropriate box.)

	NONE	LESS THAN 1 YEAR	1 -5 YEARS	6 – 10 YEARS	MORE THAN 10 YEARS
STOCKS	☐	☐	☐	☐	☐
BONDS	☐	☐	☐	☐	☐
MUTUAL FUNDS	☐	☐	☐	☐	☐
COMMODITIES	☐	☐	☐	☐	☐
FUTURES	☐	☐	☐	☐	☐
OPTIONS	☐	☐	☐	☐	☐
CRYPTOCURRENCIES	☐	☐	☐	☐	☐

Investment Goals (Please check appropriate box.)

RISK TOLERANCE	☐ Aggressive ☐ Moderate ☐ Conservative
INVESTMENT OBJECTIVE	☐ Capital Gains ☐ Income

CROWN FINANCE HOLDINGS

ACCOUNT APPLICATION

PRIMARY ACCOUNT HOLDER INFORMATION

Financial and Investment Profile

Currency preferred for the succeeding items: ☐ USD ☐ EUR ☐ If others, *please specify*______

Annual income from all sources (Exclude income from spouse.)

☐ Below 25,000 ☐ 100,000 – 199,999 ☐ 350,000 – 499,999

☐ 25,000 – 99,999 ☐ 200,000 – 349,999 ☐ 500,000 & above

Liquid net worth (Exclude the value of your residence and other non-liquid assets.)

☐ Below 25,000 ☐ 100,000 – 199,999 ☐ 350,000 – 499,999

☐ 25,000 – 99,999 ☐ 200,000 – 349,999 ☐ 500,000 & above

Total net value of all assets (Exclude the value of your residence and other non-liquid assets.)

☐ Below 25,000 ☐ 100,000 – 199,999 ☐ 350,000 – 499,999

☐ 25,000 – 99,999 ☐ 200,000 – 349,999 ☐ 500,000 & above

Primary source of income ☐ Salary ☐ Investment ☐ Retirement

Are you affiliated with or employed by a stock exchange or member firm of an exchange or FINRA, or a municipal securities broker-dealer?

☐ Yes ☐ No

Are you a director, 10% shareholder or policy-making officer of a publicly held company?

☐ Yes (*Please specify*)_________________ ☐ No

Current or former senior official of a foreign government or political party, or senior executive of a foreign government-owned commercial enterprise, or a family member of close associate of such person.

☐ Yes ☐ No

Name of Broker / Dealer (s) where you have had Securities Accounts in the last 5 years:

____

Name of FCM (s) where you have had Future Accounts in the last 5 years:

____

SECONDARY ACCOUNT HOLDER INFORMATION

Financial and Investment Profile

Currency preferred for the succeeding items: ☐ USD ☐ EUR ☐ If others, *please specify*______

Annual income from all sources (Exclude income from spouse.)

☐ Below 25,000 ☐ 100,000 – 199,999 ☐ 350,000 – 499,999

☐ 25,000 – 99,999 ☐ 200,000 – 349,999 ☐ 500,000 & above

Liquid net worth (Exclude the value of your residence and other non-liquid assets.)

☐ Below 25,000 ☐ 100,000 – 199,999 ☐ 350,000 – 499,999

☐ 25,000 – 99,999 ☐ 200,000 – 349,999 ☐ 500,000 & above

Total net value of all assets (Exclude the value of your residence and other non-liquid assets.)

☐ Below 25,000 ☐ 100,000 – 199,999 ☐ 350,000 – 499,999

☐ 25,000 – 99,999 ☐ 200,000 – 349,999 ☐ 500,000 & above

Primary source of income ☐ Salary ☐ Investment ☐ Retirement

Are you affiliated with or employed by a stock exchange or member firm of an exchange or FINRA, or a municipal securities broker-dealer?

☐ Yes ☐ No

Are you a director, 10% shareholder or policy-making officer of a publicly held company?

☐ Yes (*Please specify*)_________________ ☐ No

Current or former senior official of a foreign government or political party, or senior executive of a foreign government-owned commercial enterprise, or a family member of close associate of such person.

☐ Yes ☐ No

Name of Broker / Dealer (s) where you have had Securities Accounts in the last 5 years:

____

Name of FCM (s) where you have had Future Accounts in the last 5 years:

____

ACCOUNT APPLICATION

AGREEMENT

I. Contained in this agreement are the terms applicable to the account owner named on this account application (client) for purchasing and selling of stocks, bonds, services and private placements by **Crown Finance Holdings**.

II. Transactions made on behalf of the client are subject to regulations, rules, by-laws, constitution, and normal industry practice relating to the exchanges/clearing houses. Client acknowledges that securities will fluctuate in value depending upon market conditions.

III. Instruction from client will be whether verbal or written concerning transactions that **Crown Finance Holdings** will carry out on behalf of client.

IV. All written correspondences to client shall be accepted as accurate, unless written objection is immediate, or not longer than 3 working days from initial receipt, sent to **Crown Finance Holdings** by electronic mail. All confirmations of trading transactions will be sent by fax or electronic mail (email). Ordinary correspondence will be sent by electronic mail (email). Any change of client's mailing address must be notified to **Crown Finance Holdings** in writing. Currency "Slip" notices (buy/sell order or trade confirmation) are quoted in the currency applicable to country where the shares are traded.

V. **Crown Finance Holdings** is not responsible in any way for any losses and/or damages suffered by exchange rate fluctuations.

VI. The "trading account- Client Agreement" can be terminated by **Crown Finance Holdings** or client within thirty days period in writing only with the commencement date the receiving time of the written notice by the party causing/ requesting the termination. When such period is expired, **Crown Finance Holdings** has obligation to send client any proceeds of sales made under "best effort" situation, or actual certificates.

PRINTED NAME & SIGNATURE (Primary Account Holder)	PRINTED NAME & SIGNATURE (Secondary Account Holder – For Joint Accounts only.)

DISCLOSURE POLICY

The non-public personal information we collect about you comes primarily from this account application or other forms you submit to us. We do not disclose your information to anyone, except as permitted by law. All client information will be treated in strictest confidence. It may only include disclosing your information pursuant to your express consent, to fulfill your instructions, or to comply with applicable laws and regulations. We limit access to your information to those of our employees and service providers who are involved in offering or administering the products or services that we offer. We maintain physical, electronic, and procedural safeguards that are designed to comply with international standards to guard your information. If our relationship is terminated, we will continue to treat the information as stated herein.

Crown Finance Holdings

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